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(54) **Thermally-transferable fluorescent diphenyl ethylenes.**

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**US-A- 4 018 810**  
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**158 (M-311)[1595], 21st July 1984; & JP-A-59**  
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EP 0 356 980 B1

## Description

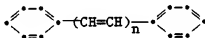
This invention relates to fluorescent donor elements used in thermal transfer.

In recent years, thermal transfer systems have been developed to obtain prints from pictures which have been generated electronically from a color video camera. According to one way of obtaining such prints, an electronic picture is first subjected to color separation by color filters. The respective color-separated images are then converted into electrical signals. These signals are then operated on to produce cyan, magenta and yellow electrical signals. These signals are then transmitted to a thermal printer. To obtain the print, a cyan, magenta or yellow dye-donor element is placed face-to-face with a dye-receiving element. The two are then inserted between a thermal printing head and a platen roller. A line-type thermal printing head is used to apply heat from the back of the dye-donor sheet. The thermal printing head has many heating elements and is heated up sequentially in response to the cyan, magenta and yellow signals. The process is then repeated for the other two colors. A color hard copy is thus obtained which corresponds to the original picture viewed on a screen.

The system described above has been used to obtain visible dye images. However, for security purposes, to inhibit forgeries or duplication, or to encode confidential information, it would be advantageous to create non-visible ultraviolet absorbing images that fluoresce with visible emission when illuminated with ultraviolet light.

U.S. Patent 4,627,997 discloses a fluorescent thermal transfer recording medium comprising a thermally-meltable, wax ink layer. In that system, the fluorescent material is transferred along with the wax material when it is melted. Wax transfer systems, however, are incapable of providing a continuous tone. Further, the fluorescent materials of that reference are incapable of diffusing by themselves in the absence of the wax matrix. It is an object of this invention to provide fluorescent materials useful in a continuous tone system which have sufficient vapor pressure to transfer or diffuse by themselves from a donor element to a receiver.

In accordance with this invention, a donor element for thermal transfer is provided comprising a support having on one side thereof a fluorescent material dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, the fluorescent material comprising a diphenyl ethylene having the formula:

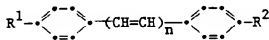


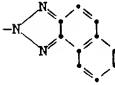
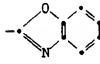
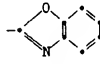

wherein:

n is 1 to 6, with the proviso that when n is 1, at least one of the phenyl rings must be substituted with a conjugated moiety.

In a preferred embodiment of the invention, n is 3 in the above formula and the phenyl rings are unsubstituted. In another preferred embodiment of the invention, n is 1 and at least one phenyl ring is substituted with a vinylbenzene group, a naphthotriazole group, a diphenylamine group, a benzoxazole group or a benzothiazole group. If n is 1 and the phenyl ring is not substituted with a conjugated moiety, then virtually no visible fluorescence is obtained, as will be shown hereinafter.

Compounds included within the scope of the invention include the following:



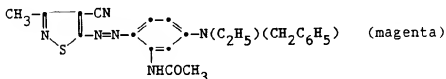
Compound	$R^1$	$R^2$	n
1	H	H	3
2	H	H	2
3	$-\text{CH}=\text{CHC}_6\text{H}_5$	H	1
4		H	1
5	$-\text{N}(\text{C}_6\text{H}_4-\text{O}-\text{CH}_3)_2$	$-\text{CH}_3$	1
6	$-\text{N}(\text{C}_6\text{H}_4-\text{O}-\text{CH}_3)_2$		1
7	$-\text{CO}_2-\text{C}_9\text{H}_{19}-\text{n}$		1
8	$-\text{CH}=\text{CH}-\text{C}_6\text{H}_4-\text{N}(\text{C}_6\text{H}_4-\text{O}-\text{CH}_3)_2$		1
$\text{R}^1-\text{C}_6\text{H}_4-\text{C}(\text{CH}_3)_2-\text{C}_6\text{H}_4-\text{R}^2$			

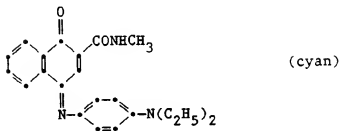
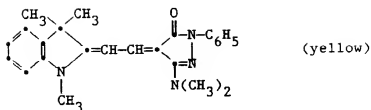
Compound	$R^1$	$R^2$	n	
5	9			1
10	10			1
15	11			1
20	12		$-CH_3$	1
25	13	$-OCH_3$	$-OCH_3$	2
30	14	$-CF_3$	$-CF_3$	2
35	15	$-(CH=CH)_2-C_6H_5$	$-H$	2
40	16		$-C\equiv N$	2
45	17	$-C_2H_5$	$-C_2H_5$	3

Compound	R <sup>1</sup>	R <sup>2</sup>	n
18			1
19			1
20		-H	1
21			1
22			
23			

The above materials may be prepared by the phosphonate modification of the Wittig type reaction, namely the Horner reaction (see Horner, Hoffman and Wippel, Chem. Ber., 91, 61, 1958) or the Wadsworth-Emmons reaction (see Org. Syn., Vol V, p 547).

A visible dye can also be used in a separate area of the donor element of the invention provided it is transferable to the dye-receiving layer by the action of heat. Especially good results have been obtained with sublimable dyes such as





or any of the dyes disclosed in U.S. Patent 4,541,830. The above dyes may be employed singly or in combination to obtain a monochrome. The dyes may be used at a coverage of from 0.05 to 1 g/m<sup>2</sup> and are preferably hydrophobic.

The fluorescent material in the donor element of the invention is dispersed in a polymeric binder such as a cellulose derivative, e.g., cellulose acetate hydrogen phthalate, cellulose acetate, cellulose acetate propionate, cellulose acetate butyrate, cellulose triacetate; a polycarbonate; poly(styrene-co-acrylonitrile), a poly(sulfone) or a poly(phenylene oxide). The binder may be used at a coverage of from 0.1 to 5 g/m<sup>2</sup>.

The fluorescent material layer of the donor element may be coated on the support or printed thereon by a printing technique such as a gravure process.

Any material can be used as the support for the donor element of the invention provided it is dimensionally stable and can withstand the heat of the thermal printing heads. Such materials include polyesters such as poly(ethylene terephthalate); polyamides; polycarbonates; glassine paper; condenser paper; cellulose esters; fluorine polymers; polyethers; polyacetals; polyolefins; and polyimides. The support generally has a thickness of from 2 to 30  $\mu$ m. It may also be coated with a subbing layer, if desired.

The reverse side of the donor element is coated with a slipping layer to prevent the printing head from sticking to the donor element. Such a slipping layer would comprise a lubricating material such as a surface active agent, a liquid lubricant, a solid lubricant or mixtures thereof, with or without a polymeric binder. Preferred lubricating materials include those materials disclosed in U. S. Patents 4,717,711, 4,737,485, 4,738,950, and 4,717,712. Suitable polymeric binders for the slipping layer include poly(vinyl alcohol-co-butylal), poly(vinyl alcohol-co-acetal), poly(styrene), poly(vinyl acetate), cellulose acetate butyrate, cellulose acetate propionate, cellulose acetate or ethyl cellulose.

The amount of the lubricating material to be used in the slipping layer depends largely on the type of lubricating material, but is generally in the range of .001 to 2 g/m<sup>2</sup>. If a polymeric binder is employed, the lubricating material is present in the range of 0.1 to 50 weight %, preferably 0.5 to 40, of the polymeric binder employed.

The receiving element that is used with the donor element of the invention usually comprises a support having thereon an image-receiving layer. The support may be a transparent film such as a poly(ether sulfone), a polyimide, a cellulose ester such as cellulose acetate, a poly(vinyl alcohol-co-acetal) or a poly(ethylene terephthalate). The support for the receiving element may also be reflective such as baryta-coated paper, polyethylene-coated paper, white polyester (polyester with white pigment incorporated therein), an ivory paper, a condenser paper or a synthetic paper such as duPont Tyvek®.

The image-receiving layer may comprise, for example, a polycarbonate, a polyurethane, a polyester, polyvinyl chloride, poly(styrene-co-acrylonitrile), poly(caprolactone) or mixtures thereof. The image-receiving layer may be present in any amount which is effective for the intended purpose. In general, good results have been obtained at a concentration of from 1 to 5 g/m<sup>2</sup>.

As noted above, the donor elements of the invention are used to form a transfer image. Such a process comprises imagewise-heating a donor element as described above and transferring a fluorescent material

image to a receiving element to form the transfer image.

The donor element of the invention may be used in sheet form or in a continuous roll or ribbon. If a continuous roll or ribbon is employed, it may have only the fluorescent material thereon as described above or may have alternating areas of different dyes, such as sublimable magenta and/or yellow and/or cyan and/or black or other dyes. Such dyes are disclosed in U. S. Patents 4,541,830, 4,698,651, 4,695,287, 4,701,439, 4,757,046, 4,743,582, and 4,753,922. Thus, one-, two-, three- or four-color elements (or higher numbers also) are included within the scope of the invention.

In a preferred embodiment of the invention, the donor element comprises a poly(ethylene terephthalate) support coated with sequential repeating areas of magenta, yellow, and cyan dye and the fluorescent material as described above, and the above process steps are sequentially performed for each color to obtain a three-color dye transfer image containing a fluorescent image.

A thermal transfer assemblage of the invention comprises

a) a donor element as described above, and

b) a receiving element as described above, the receiving element being in a superposed relationship with the donor element so that the fluorescent material layer of the donor element is in contact with the image-receiving layer of the receiving element.

The following example is provided to illustrate the invention.

### Example

A donor element was prepared by coating the following layers in the order recited on a 6  $\mu\text{m}$  poly(ethylene terephthalate) support:

- 1) a subbing layer of duPont Tyzor TBT<sup>®</sup> titanium tetra-n-butoxide (0.16 g/m<sup>2</sup>) from 1-butanol; and
- 2) a layer containing the fluorescent material as identified above or control fluorescent material identified below (0.16 g/m<sup>2</sup>) in a cellulose acetate propionate (2.5% acetyl and 45% propionyl) binder (0.32 g/m<sup>2</sup>) coated from a cyclopentanone, toluene and methanol solvent mixture.

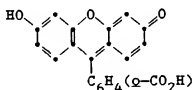
On the back side of the element was coated:

- 1) a subbing layer of Bostik 7650<sup>®</sup> (Emhart Corp.) polyester (0.11 g/m<sup>2</sup>) coated from toluene; and
- 2) a slipping layer of Gafac RA-600<sup>®</sup> (GAF Corp.) polymer (0.043 g/m<sup>2</sup>) and BYK-320<sup>®</sup> (BYK Chemie, USA) (0.016 g/m<sup>2</sup>) in a poly(styrene-co-acrylonitrile) binder (70:30 wt. ratio) (0.54 g/m<sup>2</sup>) coated from a toluene and 3-pentanone solvent mixture.

### Control Materials

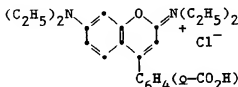
The following materials are available commercially from Kodak Laboratory Products and Chemicals Division:

#### Control 1



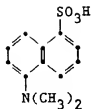
Fluorescein

#### Control 2



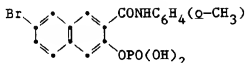
Rhodamine B

Control 3

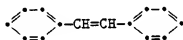


DANs Acid

Control 4

Naphthol AS-B1  
Phosphate

Control 5



Stilbene

A receiving element was prepared by coating a solution of Makrolon 5705® (Bayer A.G. Corporation) polycarbonate resin (2.9 g/m<sup>2</sup>) in a methylene chloride and trichloroethylene solvent mixture on a transparent 175 µm polyethylene terephthalate support.

The fluorescent material layer side of the donor element strip approximately 3 cm x 15 cm in area was placed in contact with the image-receiving layer of the receiver element of the same area. The assemblage was fastened in the jaws of a stepper motor driven pulling device. The assemblage was laid on top of a 14 mm diameter rubber roller and a TDK Thermal Head L-133 (No. 6-2R16-1) and was pressed with a spring at a force of 3.6 kg against the donor element side of the assemblage pushing it against the rubber roller.

The imaging electronics were activated causing the pulling device to draw the assemblage between the printing head and roller at 3.1 mm/sec. Coincidentally, the resistive elements in the thermal print head were pulsed at a per pixel pulse width of 8 msec to generate a graduated density image. The voltage supplied to the print head was approximately 22 v representing approximately 1.5 watts/dot (12 mjoules/dot).

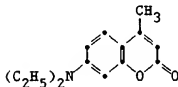
The receiving element was separated from the donor element and the relative emission was measured with a spectrofluorimeter using a fixed intensity 360 nm excitation beam. The following results were obtained:



Table

Compound	Relative Emission*	Visual Color
Comparison*	100	Blue
1	93	Blue
2	70	Blue
3	59	Blue
4	150	Blue
5	73	Blue
6	52	Yellow-Green
7	150	Blue
8	4	Weak Yellow-Green
9	110	Blue
10	5	Not visible
11	10	Not visible
Control 1	<1	Not visible
Control 2	<1	Not visible
Control 3	<1	Not visible
Control 4	<1	Not visible
Control 5	<1	Not visible

\* Compared to the following compound, normalized to 100:

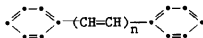


This compound is the subject of EPA Serial No. 356981, of Byers and Chapman, filed of even date herewith and entitled "Thermally-Transferable Fluorescent 7-Aminocoumarins."

The above results show that the compounds of the invention have much more fluorescence than the control compounds of the prior art.

#### Claims

1. A donor element for thermal transfer comprising a support having on one side thereof a fluorescent material dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, said fluorescent material comprising a diphenyl ethylene having the formula:



wherein:

n is 1 to 6, with the proviso that when n is 1, at least one of the phenyl rings must be substituted with a conjugated moiety.

2. The element of Claim 1 characterized in that n is 3 and the phenyl rings are unsubstituted.

3. The element of Claim 1 characterized in that n is 1 and at least one phenyl ring is substituted with a vinylbenzene group.

4. The element of Claim 1 characterized in that n is 1 and at least one phenyl ring is substituted with a naphthothiazole group

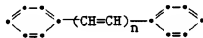
5. The element of Claim 1 characterized in that n is 1 and at least one phenyl ring is substituted with a diphenylamine group.

6. The element of Claim 1 characterized in that n is 1 and at least one phenyl ring is substituted with a benzoxazole group.

7. The element of Claim 1 characterized in that n is 1 and at least one phenyl ring is substituted with a benzothiazole group

8. The element of Claim 1 characterized in that said donor element comprises sequential repeating areas of magenta, yellow and cyan dye, and said fluorescent diphenyl ethylene.

9. A process of forming a transfer image comprising imagewise-heating a donor element comprising a support having on one side thereof a fluorescent material dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, and transferring an image to a receiving element, said fluorescent material comprising a diphenyl ethylene having the formula:



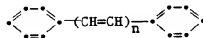
wherein:

n is 1 to 6, with the proviso that when n is 1, at least one of the phenyl rings must be substituted with a conjugated moiety.

10. A thermal transfer assemblage comprising:

a) a donor element comprising a support having on one side thereof a layer comprising a fluorescent material dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, and

b) a receiving element comprising a support having thereon an image-receiving layer, said receiving element being in a superposed relationship with said donor element so that said fluorescent material layer is in contact with said image-receiving layer, said fluorescent material comprising a diphenyl ethylene having the formula:

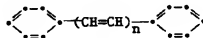


wherein:

n is 1 to 6, with the proviso that when n is 1, at least one of the phenyl rings must be substituted with a conjugated moiety.

## Patentansprüche

1. Donorelement für die thermische Übertragung mit einem Träger, der auf seiner einen Seite ein fluoreszierendes Material, das in einem polymeren Bindemittel dispergiert ist, aufweist und auf der anderen Seite eine Gleitschicht mit einem Gleitmittel, wobei das fluoreszierende Material ein Diphenylethylen der folgenden Formel umfaßt:



in der bedeuten:

n gleich 1 bis 6, wobei gilt, daß, wenn n gleich 1 ist, mindestens einer der Phenylringe durch einen konjugierten Rest substituiert sein muß.

2. Element nach Anspruch 1, dadurch gekennzeichnet, daß n gleich 3 ist und die Phenylringe unsubstituiert sind.

3. Element nach Anspruch 1, dadurch gekennzeichnet, daß n gleich 1 ist und mindestens ein Phenylring durch eine Vinylbenzolgruppe substituiert ist.

4. Element nach Anspruch 1, dadurch gekennzeichnet, daß n gleich 1 ist und mindestens ein Phenylring durch eine Naphthotriazolgruppe substituiert ist.

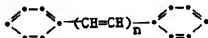
5. Element nach Anspruch 1, dadurch gekennzeichnet, daß n gleich 1 ist und mindestens ein Phenylring durch eine Diphenylaminogruppe substituiert ist.

6. Element nach Anspruch 1, dadurch gekennzeichnet, daß n gleich 1 ist und mindestens ein Phenylring durch eine Benzoxazolgruppe substituiert ist.

7. Element nach Anspruch 1, dadurch gekennzeichnet, daß n gleich 1 ist und mindestens ein Phenylring durch eine Benzothiazolgruppe substituiert ist.

8. Element nach Anspruch 1, dadurch gekennzeichnet, daß das Donorelement wiederkehrende Bereiche von purpurrotem, gelbem und blaugrünem Farbstoff und dem fluoreszierenden Diphenylethylen aufweist.

9. Verfahren zur Herstellung eines Übertragungsbildes durch bildweise Erhitzung eines Donorelementes mit einem Träger, der auf seiner einen Seite ein in einem polymeren Bindemittel dispergiertes fluoreszierendes Material aufweist und auf seiner anderen Seite eine Gleitschicht mit einem Gleitmittel, und Übertragung eines Bildes auf ein Empfangselement, wobei das fluoreszierende Material ein Diphenylethylen der folgenden Formel umfaßt,



in der bedeuten:

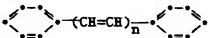
n gleich 1 bis 6, wobei gilt, daß, wenn n gleich 1 ist, mindestens einer der Phenylringe durch einen konjugierten Rest substituiert sein muß.

10. Arbeitssatz für die thermische Übertragung mit

a) einem Donorelement mit einem Träger, der auf seiner einen Seite ein in einem polymeren Bindemittel dispergiertes fluoreszierendes Material aufweist und auf seiner anderen Seite eine Gleitschicht mit einem Gleitmittel, und

b) einem Empfangselement mit einem Träger, auf dem sich eine Bildempfangsschicht befindet,

wobei das Empfangselement derart über dem Donorelement angeordnet ist, daß sich die Schicht mit dem fluoreszierenden Material in Kontakt mit der Bildempfangsschicht befindet, wobei das fluoreszierende Material ein Diphenylethylen der folgenden Formel umfaßt:

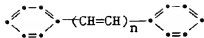


in der bedeuten:

n gleich 1 bis 6, wobei gilt, daß, wenn n gleich 1 ist, mindestens einer der Phenylringe durch einen konjugierten Rest substituiert sein muß.

## Revendications

1. Élément donneur pour transfert thermique de colorant comprenant un support recouvert d'un côté par une substance fluorescente dispersée dans un liant polymère et de l'autre côté par une couche favorisant le glissement comprenant un lubrifiant, la substance fluorescente comprenant un groupe diphenyl éthylène de formule :



où  
n est compris entre 1 et 6, avec la condition que lorsque n est 1, au moins un des cycles phényle peut être substitué avec un groupement conjugué.

2. Élément selon la revendication 1 caractérisé en ce que n est 3 et les cycles phényle sont non-substitués.  
3. Élément selon la revendication 1 caractérisé en ce que n est 1 et au moins un cycle phényle est substitué par un groupe vinybenzène.

4. Élément selon la revendication 1 caractérisé en ce que n est 1 et au moins un cycle phényle est substitué par un groupe naphtriazole.

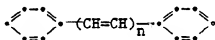
5. Élément selon la revendication 1 caractérisé en ce que n est 1 et au moins un cycle phényle est substitué par un groupe diphenylamine.

6. Élément selon la revendication 1 caractérisé en ce que n est 1 et au moins un cycle phényle est substitué par un groupe benzoxazole.

7. Élément selon la revendication 1 caractérisé en ce que n est 1 et au moins un cycle phényle est substitué par un groupe benzothiazole.

8. Élément selon la revendication 1 caractérisé en ce que l'élément donneur comprend des séquences répétitives de zones de colorants magenta, jaune et cyan et le composé fluorescent diphenyl éthylène.

9. Procédé pour former une image par transfert dans lequel on chauffe l'élément donneur de colorant comprenant un support recouvert sur un de ses cotés d'une couche comprenant une substance fluorescente dispersée dans un liant polymère, et sur l'autre coté d'une couche favorisant le glissement comprenant un lubrifiant, et on transfère une image sur l'élément récepteur pour former une image par transfert sur un élément récepteur, la substance fluorescente comprenant un groupe diphenyl éthylène de formule :

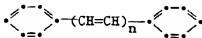


où  
n est compris entre 1 et 6, avec la condition que lorsque n est 1, au moins un des cycles phényle peut être substitué avec un groupement conjugué.

10. Assemblage pour transfert thermique comprenant

a) un élément donneur de colorant comprenant un support recouvert sur un de ses cotés d'une couche contenant une substance fluorescente dans un liant polymère et sur l'autre coté une couche favorisant le glissement comprenant un lubrifiant, et

b) un élément récepteur comprenant un support recouvert d'une couche réceptrice d'image, l'élément récepteur et l'élément donneur étant superposés de telle sorte que la couche contenant la substance fluorescente est en contact avec la couche réceptrice d'image, la substance fluorescente comprenant un groupe diphenyl éthylène de formule :



où  
n est compris entre 1 et 6, avec la condition que lorsque n est 1, au moins un des cycles phényle peut être substitué avec un groupement conjugué.